

31 May 22, 18:11

p.1

UNITED STATES BANKRUPTCY COURT  
Southern District Of New York

In re: Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (SCC)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

NG CHUNG KEUNG DAVID  
Name of Transferee

**The Hongkong and Shanghai Banking  
Corporation Limited**  
Name of Transferor

Name and Address where notices to transferee should be sent:  
RM 1511, TELFORD HOUSE  
16 WANG HOI ROAD  
KOWLOON BAY, HONG KONG  
Phone: +852-9125-7275

Count Claim # (if known): 56669  
Amount of Claim: See attached Evidence of Partial  
Transfer of Claim

Date Claim Filed: 29 October 2009

Phone: +852 3604 7640  
Last Four Digits of Acct. #: 6253

Last Four Digits of Acct. #: Nil

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: 

Date: 30 May 2022

Transferee/Transferee's Agent  
Name: NG CHUNG KEUNG DAVID

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both.  
18 U.S.C. §§ 152 & 3571.

31 May 22, 18:08

p.1

**EVIDENCE OF PARTIAL TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED ("Transferor"), does hereby certify that, as of 3 August 2021, it has unconditionally and irrevocably transferred and assigned to [NG CHUNG KEUNG DAVID ] ("Transferee"), to the extent specified below (the "Transferred Claim"), Transferor's right, title and interest in and to Proof of Claim Number [56669] filed by or on behalf of Transferor against Lehman Brothers Holdings Inc., debtor in Case No. 08-13555 (SCC) in the United States Bankruptcy Court for the Southern District of New York, solely in the following amounts (the "Claim").

<u>ISIN</u>	<u>Issuer</u>	<u>Guarantor</u>	<u>Principal Amount Transferred</u>
XS0336416051	LEHMAN BROTHERS TREASURY CO. B.V. 2Y CDA HSBC STK- 109,6014KO-122.0561 16% 24DEC2009	LEHMAN BROTHERS HOLDINGS INC	USD500,000
XS0305084591	LEHMAN BROTHERS TREASURY CO. B.V. 2Y CDA HSBC STK- 123,0172KO-131.0401 10% 18JUN2009	LEHMAN BROTHERS HOLDINGS INC	USD200,000

For the avoidance of doubt, no portion of the Claim has been transferred to the Transferee other than the Transferred Claim.

Transferor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing the assignment evidenced by this Evidence of Transfer of Claim as an unconditional assignment and Transferee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, dated as of the 30<sup>th</sup> day of May 2022

THE HONGKONG AND SHANGHAI  
BANKING CORPORATION LIMITED



By: \_\_\_\_\_  
Name: Raymond Ng  
Title: Director  
Tel.: 852 3604 7645

31 May 22, 18:10

p.1

**ULTRA SUCCESS LIMITED**

By: 

Name: CHEN DICK YU JUDY  
Title: DIRECTOR  
Tel.: +852 9125 1423